

Format of holding of specified securities

Annexure - I

- 1 **Name of Listed Entity : U Y FINCORP LIMITED**
- 2 Scrip Code/Name of Scrip/Class of Security : BSE : 530579, CSE:- 10017059
- 3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 31/12/2023**
- 4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO
6	Whether company has equity shares with differential voting rights?		NO
7	Whether the listed entity has any significant beneficial owner?	YES	

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter Group	6	135783683	0	0	135783683	71.376	135783683	0	135783683	71.376	0	0.000	0	0.000	135783683		
(B)	Public	8233	54454646	0	0	54454646	28.624	54454646	0	54454646	28.624	0	0.000	0	0.000	54321027		
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	TOTAL	8239	190238329	0		190238329	100.000	190238329	0	190238329	100.000	0	0.000	0	0.000	190104710		

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN (II)	No. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1987 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities as a percentage of diluted share capital (XI) = (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
										No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
										Class x	Class y	Total								
(1)	Indian			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(a)	Individuals/Hindu undivided Family			4	85299555	0	0	85299555	44.838	85299555	0	85299555	44.838	0	0.000	0	0.000	0	85299555	
	DEEPAK KOTHARI	PROMOTER	AAABHD7041M	0	28000	0	0	28000	0.015	28000	0	28000	0.015	0	0.000	0	0.000	0	28000	
	DEEPAK KOTHARI	PROMOTER	ABBPX9382M	0	37430914	0	0	37430914	19.676	37430914	0	37430914	19.676	0	0.000	0	0.000	0	37430914	
	DIPTI DEEPAK KOTHARI	PROMOTER	AETPK9531R	0	3689002	0	0	3689002	1.939	3689002	0	3689002	1.939	0	0.000	0	0.000	0	3689002	
	UDAI KOTHARI	PROMOTER	AQMFK0691F	0	44151639	0	0	44151639	23.209	44151639	0	44151639	23.209	0	0.000	0	0.000	0	44151639	
(b)	Central Government/State Government(s)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(c)	Financial Institutions/Banks			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(d)	Any Other			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
	Bodies Corporate			2	50484128	0	0	50484128	26.537	50484128	0	50484128	26.537	0	0.000	0	0.000	0	50484128	
	LOTUS CAPITAL FINANCIAL SERVICES LIMITED	PROMOTER	AAACL4520B	0	37046208	0	0	37046208	19.474	37046208	0	37046208	19.474	0	0.000	0	0.000	0	37046208	
	U V INDUSTRIES PRIVATE LTD	PROMOTER	AAACL4253G	0	13437920	0	0	13437920	7.064	13437920	0	13437920	7.064	0	0.000	0	0.000	0	13437920	
	Trusts			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
	Clearing Members			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
	Sub-Total (A)(1)			6	135783683	0	0	135783683	71.376	135783683	0	135783683	71.376	0	0.000	0	0.000	0	135783683	
(2)	Foreign			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
	Individuals (Non-Resident Individuals/Foreign Individuals)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(a)	Individuals			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(b)	Government			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(c)	Institutions			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
	Foreign Portfolio Investor			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(d)	Investor			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(e)	Any Other			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
	OCBs			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
	QFI - Individual			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
	QFI - Corporate			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
	Sub-Total (A)(2)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)			6	135783683	0	0	135783683	71.376	135783683	0	135783683	71.376	0	0.000	0	0.000	0	135783683	

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (iv)+(v)+(vi) (vii)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (xiv)	Sub-categorization of shares (xv)		
								No. of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Shareholding (No. of shares) under		
								Class x	Class y									Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(1) Institutions (Domestic)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(a) Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(b) Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(c) Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(d) Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
Financial Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(e) Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(f) Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(g) Asset Reconstruction Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(h) Sovereign Wealth Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(i) NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(j) Other Financial Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(k) Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(2) Institutions (Foreign)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(a) Foreign Direct Investment		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(b) Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(c) Sovereign Wealth Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(d) Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
Category I		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
Category II		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(e) Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(f) Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
QFI Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
FPI - CORPORATE - CAT-III		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				
(3) Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0				

(a)	Central Government/President of India		1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000			
(b)	State Government/Governor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(c)	Shareholding by Companies or Bodies Corporate where Central/State Government is a Promoter		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	Sub-Total (B)(3)		1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000			
(4)	Non-institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(a)	Associate Companies/Subsidiaries		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(b)	Directors and their relatives		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(c)	Key Managerial Personnel		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(d)	Relatives of Promoters (other than Immediate Relatives of Promoters disclosed under Promoter & Promoter Group)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(e)	Trusts where any person belonging to Promoter & Promoter Group Category is Trustee, beneficiary or author of the trust		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(f)	IEPF Authority		2	147382	0	0	147382	0.077	147382	0	147382	0.077	0	0.077	0	0.000	0	0.000	147382			
(g)	Resident Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		8000	8080342	0	0	8080342	4.247	8080342	0	8080342	4.247	0	4.247	0	0.000	0	0.000	7963123			
(h)	Resident Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		109	20457173	0	0	20457173	10.753	20457173	0	20457173	10.753	0	10.753	0	0.000	0	0.000	20457173			
(i)	NRI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	Repatriable		17	200998	0	0	200998	0.106	200998	0	200998	0.106	0	0.106	0	0.000	0	0.000	200998			
	Non-Repatriable		21	653835	0	0	653835	0.344	653835	0	653835	0.344	0	0.344	0	0.000	0	0.000	652835			
(j)	Foreign Nationals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(k)	Foreign Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
(l)	Bodies Corporate		78	24891025	0	0	24891025	13.084	24891025	0	24891025	13.084	0	13.084	0	0.000	0	0.000	24875625			
	MAIN LAND FINANCE PVT. LTD.	AACCM3533D	0	4338173	0	0	4338173	2.280	4338173	0	4338173	2.280	0	2.280	0	0.000	0	0.000	4338173			

	SANGAM MERCHANTS PVT.LTD.	AAGCS5305M	0	3987156	0	0	3987156	2.096	3987156	0	3987156	2.096	0	2.096	0	0.000	0	0.000	3987156			
(m)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	Clearing Memebers		5	19891	0	0	19891	0.010	19891	0	19891	0.010	0	0.010	0	0.000	0	0.000	19891			
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	FPI - INDIVIDUAL - CAT-III		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	Unclaimed Shares A/c		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	Unclaimed Demat Escrow A/c		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	FPI - INDIVIDUAL - CAT-II		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0			
	Sub-Total (B)4)		8232	54450646	0	0	54450646	28.622	54450646	0	54450646	28.622	0	28.622	0	0.000	0	0.000	54317027			
	Total Public Shareholding (B)=(B)1)+(B)2)+(B) 3)+(B)4)		8233	54454646	0	0	54454646	28.624	54454646	0	54454646	28.624	0	28.624	0	0.000	0	0.000	54321027			

Table V - Statement showing shareholding details of significant beneficial owner

<i>Details of the significant beneficial owner (I)</i>				<i>Details of the registered owner (II)</i>			<i>Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect :(III) Whether by virtue of:</i>					
Sr No.	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (IV)
1	Deepak Kothari	ABBPk9382M	Indian	Deepak Kothari (HUF)	AABHD7041M	Indian	19.69			No	No	30-01-2017

Table VI - Statement showing foreign ownership limits

Particulars	Approved Limits(%)	Limits utilized (%)
As on shareholding date	100	0.11
As on the end of previous 1st quarter	100	0.12
As on the end of previous 2nd quarter	100	0.13
As on the end of previous 3rd quarter	100	0.12
As on the end of previous 4th quarter	100	0.11